



08-25.05

PATENT

Application # 09/822,300

Attorney Docket # 2000P07515US01 (1009-087)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Georg Muenzel
Serial No. : 09/822,300
Filed : 23 March 2001
For : INDUSTRIAL AUTOMATION SYSTEM GRAPHICAL
PROGRAMMING LANGUAGE STORAGE AND TRANSMISSION
Art Unit : 2124
Examiner : Tuan A. Vu

INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop Amendment
Commissioner for Patents
United States Patent and Trademark Office (USPTO)
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the USPTO is hereby directed to the attached listing of documents and/or the attached Declaration. Unless otherwise indicated herein, one copy of each listed document is attached.

It is respectfully requested that the listed documents:

- (1) be expressly considered during the prosecution of this application;
- (1) be made of record therein; and
- (1) appear among the "References Cited" on any patent to issue therefrom.

The following marked paragraphs are applicable in this IDS.

Timing of this IDS:

- ☐ A. This IDS is being filed per 37 CFR 1.97(b)
- ☐ (1): within 3 months of the U.S. filing date other than a CPA under 1.53(d);
- ☐ (2): within 3 months of the date of entry of the national stage as set forth in 1.491 in an international application;
- ☐ (3): before the mailing date of a first Office Action on the merits; **OR**
- ☐ (4): before the mailing date of a first Office Action associated with a request for continued examination (RCE) under 1.114;

AND, thus, no certification or fee is required.

- ☒ B. This IDS is being filed per 37 CFR 1.97(c), **AFTER** the period specified in 37 CFR 1.97(b) [section A of this IDS], and **BEFORE**:
- (a) the mailing date of any Final Action under 1.113,
- (a) a Notice of Allowance under 1.311, **OR**
- (a) an action that otherwise closes prosecution,

AND, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS ; **OR**
- ☒ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

AND, per 37 CFR 1.97(c), this IDS is accompanied by:

- ☒ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

- ☐ C. This IDS is being filed per 37 CFR 1.97(d), AFTER the period specified in 37 CFR 1.97(c) [section **B** of this IDS], and ON or BEFORE the payment of the Issue Fee;

AND, per 37 CFR 1.97(e), I hereby certify that:

- ☐ (1) each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this IDS; **OR**
- ☐ (2) no item of information in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this IDS;

AND, per 37 CFR 1.97(d), this IDS is accompanied by:

- ☐ (3) payment of the fee under 37 CFR 1.17(p) to ensure consideration of the disclosed information.

Legible Copies of Listed References:

- ☐ D. In addition to the attached listing, accompanying this IDS is a legible copy of each listed:
- (1) foreign patent document;
 - (2) pending unpublished U.S. application OR that portion of the application that caused it to be listed including any claims directed to that portion; **AND**
 - (3) non-patent document or that portion thereof that caused it to be listed;
 - (4) other information or that portion that caused it to be listed.
- ☐ E. Consistent with 37 CFR 1.98(d), because the IDS submitted in parent U.S. Patent Application No. ____, to which this application claims priority per 35 U.S.C. 120, complies with 37 CFR 1.98(a) to (c), copies of the patent documents, non-patent

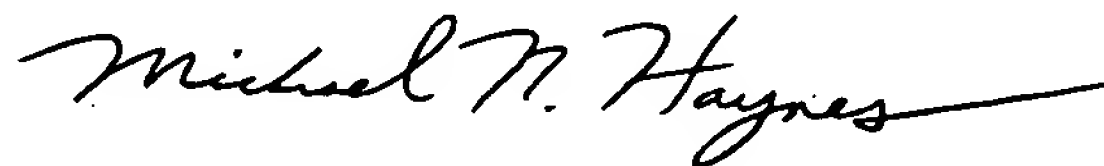
documents, pending U.S. application, and other information submitted in that parent application do not accompany this IDS.

CONCLUSION

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 50-2504. The Examiner is invited to contact the undersigned at 434-972-9988 to discuss any matter regarding this application.

Respectfully submitted,

Michael Haynes PLC

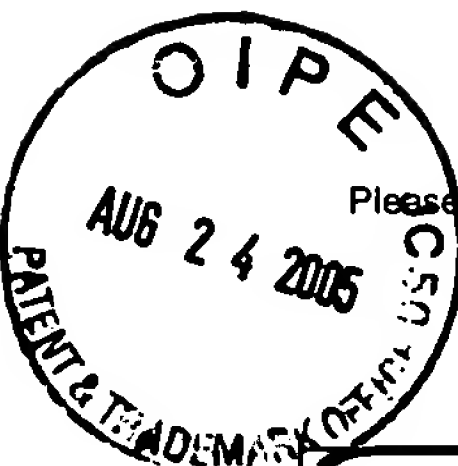


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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	09/822,300
		Filing Date	23 March 2001
		First Named Inventor	Georg Muenzel
		Group Art Unit	2124
		Examiner Name	Tuan A. Vu
(use as many sheets as necessary)		Attorney Docket Number	2000P07515US01
Sheet	1	of	1

U.S. PATENT DOCUMENTS			
Examiner Initials	Patent No.	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document
	6,904,471	Boggs	8 December 2000
	6,141,628	Worth	8 June 1998
	6,819,960	McKelvey	13 August 2001
	6,108,662	Hoskins	8 May 1998
	6,392,557	Kreuter	20 September 2000
	5,771,374	Burshtein	14 August 1995
	5,970,243	Klein	19 October 1999
	6,018,797	Schmidt	9 December 1997
	6,463,578	Johnson	23 November 1999
	5,842,020	Faustini	31 January 1997

NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	IEC International Standard 1131-1, Programmable controllers – Part 1: General Information, First Edition, International Electrotechnical Commission, 1992
	IEC International Standard 1131-2, Programmable controllers – Part 2: Equipment requirements and tests, First Edition, International Electrotechnical Commission, 1992

Examiner Signature		Date Considered	
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231